ASSET MANAGEMENT GROUP

Date: ____/____/________

Primary

Name/Identification			Home Address		
First Name	Middle Initial	Last Name	Street		
Social Security Number		Date of Birth	City	State	Zip

Phone & Email		Mailing Address		
Home Phone	Mobile / Work Phone	Street		
Email Address		City	State	Zip

Retired	Employer	Occupation
○ Yes ○ No	Employer Name (former if retired)	Occupation (former if retired)

Secondary

Name/Identification			Home Address		
First Name	Middle Initial	Last Name	Street		
Social Security Number		Date of Birth	City	State	Zip

Phone & Email		Mailing Address		
Home Phone	Mobile / Work Phone	Street		
Email Address		City	State	Zip

Retired	Employer	Occupation
○ Yes ○ No	Employer Name (former if retired)	Occupation (former if retired)

Trusted Contact

Contact Information		Mailing Address		
Name	Phone	Street		
Email Address		City	State	Zip

Beneficiary Information (List additional beneficiaries on separate sheet of paper)

Name (First, Last)	Date of Birth	Relationship	Primary (P) or Contingent (C)	%

Investment Objective

Registration (IRA, Roth IRA, Trust, Individual, etc.):

Select the investment objective that most accurately reflects the goals for this account (choose only one)
The investment objectives are overall objectives for the entire account and may be inconsistent with a particular holding at any time. Please note that achievement of the stated investment objectives is a long-term goal for the account.

- ○ A. Income with Capital Preservation. Designed as a longer-term accumulations account, this is the most conservative investment objective. Emphasis is placed on generation of current income and prevention of capital loss.
- ○ B. Income with Moderate Growth. Emphasis is placed on generation of income with a secondary focus on moderate capital.
- ○ C. Growth with Income. Emphasis is placed on modest capital growth with some focus on generation of current income
- ○ D. Growth. Emphasis is placed on achieving high long-term growth and capital appreciation. There is little focus on generation of current income.
- ○ E. Aggressive Growth. Emphasis is placed on aggressive growth and maximum capital appreciation. No focus on generation of current income. This objective has a very high level of risk and is for investors with a longer time horizon.

Financial Information and Experience

What is your total annual income?

○ A. Less than $25,000 ○ B. Between $25,000 and $49,999 ○ C. Between $50,000 and $99,999
○ D. Between $100,000 and $249,999 ○ E. Between $250,000 and $499,999 ○ F. Between $500,000 and $749,999
○ G. Between $750,000 and $999,999 ○ H. $1,000,000 and over

What is your net worth (exclusive of primary residence)?

○ A. Less than $25,000 ○ B. Between $25,000 and $49,999 ○ C. Between $50,000 and $99,999
○ D. Between $100,000 and $249,999 ○ E. Between $250,000 and $499,999 ○ F. Between $500,000 and $749,999
○ G. Between $750,000 and $999,999 ○ H. $1,000,000 and over

What is your liquid net worth (typically net worth minus real estate investments)?

○ A. Less than $25,000 ○ B. Between $25,000 and $49,999 ○ C. Between $50,000 and $99,999
○ D. Between $100,000 and $249,999 ○ E. Between $250,000 and $499,999 ○ F. Between $500,000 and $749,999
○ G. Between $750,000 and $999,999 ○ H. $1,000,000 and over

Approximate Account Value:

○ A. Less than $25,000 ○ B. Between $25,000 and $49,999 ○ C. Between $50,000 and $99,999
○ D. Between $100,000 and $249,999 ○ E. Between $250,000 and $499,999 ○ F. Between $500,000 and $749,999
○ G. Between $750,000 and $999,999 ○ H. $1,000,000 and over

What is your Federal Tax Bracket?
○ 10 ○ 12 ○ 22 ○ 24 ○ 32 ○ 35 ○ 37

Source of Wealth and Income (Inheritance, employment income, sale of real estate, etc…)

Investment Experience (number of years)

________ None ________ Stocks ________Bonds ________Mutual Funds
________Annuities ________Margin ________Options

Please indicate percentage of assets exclusive of primary home (must equal 100%)

Checking/Savings ____% Annuities ____% Alternative Investments ____%
Mutual Funds ____% Insurance ____% Real Estate ____%
Stocks ____%

Investment Time Horizon and Liquidity Needs

What is your investment time horizon for this account?

○ A. 1-3 years ○ B. 3-5years ○ C. 5-10 years ○ D. More than 10 years

Do you have liquidity needs for these funds? ○ Yes ○ No

If yes, when do you need these funds? ○ 0-3 years ○ More than 3 years